

Read Instructions carefully.

SPECIMEN APPLICATION FOR CERTIFICATION MATTER

INSTRUCTIONS / NOTE

- I) To be typed in double spacing on Superior quality **A4** paper having not less than **75 GSM.** with printing on both sides of the paper with **Font-Times New Roman** or **Georgia, Font size 14** with inner margin of **5 CM.** and outer margin **3 CM.** Docket as per last page of specimen is required.
- II) Affix Court fee stamps of **Rs.25/-.**
- III) Application should be declared before a Public Notary or person competent to Administer Oath or before the concerned Officer of this Registry.
- IV) Annex legible copies of the following documents :-
1. Share Certificate.
 2. Contract Note.
 3. Bill/Receipt of Broker.
 4. Proof of Payment.
 5. Proof of Delivery in Stock Exchange.

It is mandatory to submit the abovesaid documents alongwith application. Original should not be sent alongwith application.

- V) All Exhibits should be certified as true copy at the bottom of last page of the document by applicant if applying in person or by Advocate, if Advocate is engaged.
- VI) This specimen is prepared for convenience of layman on the basis of general application of such nature received by this office. The Applicant has to state his own case only in support of his/their claim. Do not print the specimen and fill in the gaps.

IN THE SPECIAL COURT
(TRIAL OF OFFENCES RELATING TO TRANSACTIONS IN SECURITIES),
AT BOMBAY

MISC. APPLICATION NO _____ OF 200_

Name and Address of the Applicant

(i.e. Names appearing as a transferee on the Transfer Deed) with Mobile No. and Email-ID

Versus

1. The Custodian
10th Floor, Nariman Bhawan, 227, Vinay K.
Shah Marg, Nariman Point, Mumbai-400 021.
2. Name of the company of which shares are purchased.
3. Name & Address of the Share Broker from whom
Shares are purchased.
4. Name & Address of the Transferor / Notified Person
(broker) appearing on the front & Reverse side of the
Share Transfer form.
5. Concerned Stock Exchange with full address. Respondents

THE HUMBLE APPLICATION OF THE APPLICANT ABOVE NAMED

1. That the Applicant had purchased (No. of shares) shares, being Certificate Nos. _____ to _____ "Distinctive Nos. _____ to _____, Folio Nos. _____ of Respondent company from Respondent No. _____. Payment had been settled through ledger account / by cash/ cheque bearing No. dated ____ for an amount of Rs. ____, drawn on Bank, Branch, Hereto annexed are the xerox copies of bill/contract note, share certificate, share transfer form, delivery note and proof of payment, etc. and marked as **Exhibits 'A','B','C','D'**, respectively.
2. That the Applicant had sent above shares to the Respondent No. ____ for transfer in the year __ and the Applicant had received the share certificates duly transferred in their name in the month of the, Applicant got the delivery of the transferred shares which remained with the Applicants till it was sent for dematerialization .
3. The Applicant submits that when the shares were sent for demat to Respondent No.____, the Applicant received a communication from Respondent No. _____ that the Respondent No. _____ can not proceed with the demat request since the said shares were found to be forming the part of forged shares. Hereto annexed the said communication/letter dated _____ and marked as Exhibit ' _____ '
4. The Applicant further states and submits that Respondent No. ____ has published a public notice dated __th _____, in various newspapers calling upon the owners of such shares where transfers have taken place to file application before this Hon'ble Court for getting the ownership certified by submitting necessary documentary proof to Respondent _____. *(If notice is published by the Respondent)*

5. The Applicant submits that after getting the ownership certified by Respondent ____, the Applicant is also entitled to all accruals and other benefits on these shares in question, withheld by Respondent No.____.
6. The Applicant has paid the fixed court fee of Rs.25/- on this application.
7. The Applicant, therefore, prays that:
 - (a) This Hon'ble Court may be pleased to lift/raise attachment on (No. of shares) shares, bearing Certificate Nos.____ to __ Distinctive Nos.____ to Folio No. ____ of the Respondent No. company and the Applicant may be declared as bonafide purchaser/owner of the said shares.
 - (b) This Hon'ble Court may be pleased to direct the Company and/or the Custodian to release/pay all the accruals declared from time to time, till date, on the said (No. of shares) shares .
 - (c) Any other relief as this Hon'ble Court may deem fit and proper in the nature and circumstances of the case.

Date:

**(Signature of the Applicants)
APPLICANT**

VERIFICATION

I, _____ the Applicant abovenamed, do hereby solemnly declare that what is stated in paragraphs _____ is true to my own knowledge, and that what is stated in the remaining paragraphs _____ is stated on information and belief, and I believe the same to be true.

Solemnly declared at _____)

This _____ day of _____ 20 _____)

)

)

(Signature of the Applicant)

Identified by me, _____)

Before Me.

(If application is filed by applicant in person, then mention proof of identity and annex the self attested photocopy of the same)

IN THE SPECIAL COURT
(TRIAL OF OFFENCES RELATING
TO TRANSACTIONS IN
SECURITIES) AT BOMBAY

MISC.APPLN. NO. OF 200_ .

..... (*Name of the Applicant*)
... Applicant.

Versus

The Custodian & Ors
... Respondents

=====
APPLICATION.
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Dated this ____ day of 200____.

Name and Address of the
Applicant/Advocate