

IN THE SPECIAL COURT

(TRIAL OF OFFENCES RELATING TO TRANSACTIONS IN SECURITIES) ACT, 1992

MISCELLANEOUS APPLICATION (L)NO. 6 OF 2021

Usha Agarwal ... Applicant
vs.
The Custodian ... Respondent

None for the Applicant.

Mr. Vipul Shukla for Reliance Industries.

Mr. Ashwin Mehta for the Notified parties.

Mr. Gandhar Raikar a/w. Ms.Shilpa Bhate i/b. M/s.Shilpa Bhate and Associates
for the Custodian .

CORAM: A.K. MENON, J.

Judge, Special Court

DATE : 26th NOVEMBER, 2021

P.C. :

1. This application seeks certification of 100 shares of Reliance Petroleum Limited. The applicant filed his application on 10th January, 2021. The shares are believed to have been purchased in May, 1992. After receipt of the application the Custodian has called upon the applicant to comply with the requirements prior to considering the matter for certification.

2. On 22nd February, 2021 by a letter addressed to the registry, the Custodian has filed a report dated 18th October, 2021 copy of which has been

served upon the applicant. The Custodian is seen to have addressed a letter of 27th August, 2021 calling upon the applicant to furnish documents listed in the letter. A further communication of the same date is also addressed to the Registrar and Share Transfer Agents of the Company. According to Mr. Raikar there is virtually no compliance of the request. He has invited my attention to paragraph 10 of the report which records that only photocopy of the bill dated 11th May, 1992 has been submitted. That bill appears to have been filed by the share broker, save and except for that no other documents are filed. It is not possible to consider certification unless there is compliance with requirement of the Custodian. Hence, I pass the following order :

- (i) The applicant is at liberty to furnish all documents required by the Custodian within a period of three months from today. If this requirement is complied liberty to apply for restoration of the matter.
- (ii) If there is no compliance the application shall stand dismissed without further reference to Court.
- (iii) The Miscellaneous Application is disposed in the above terms.

(A.K. MENON, J.)